



## Contrasting interannual atmospheric CO<sub>2</sub> variabilities and their terrestrial mechanisms for two types of El Niños

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**Abstract.** El Niño has two different flavors, eastern Pacific (EP) and central Pacific (CP) El Niños, with different global teleconnections. However, their different impacts on the interannual carbon cycle variability remain unclear. Here we compared the behaviors of interannual atmospheric CO<sub>2</sub> variability and analyzed their terrestrial mechanisms during these two types of El Niños, based on the Mauna Loa (MLO) CO<sub>2</sub> growth rate (CGR) and the Dynamic Global Vegetation Model's (DGVM) historical simulations. The composite analysis showed that evolution of the MLO CGR anomaly during EP and CP El Niños had three clear differences: (1) negative or neutral precursors in the boreal spring during an El Niño developing year (denoted as “yr0”), (2) strong or weak amplitudes, and (3) durations of the peak from December (yr0) to April during an El Niño decaying year (denoted as “yr1”) compared to October (yr0) to January (yr1) for a

CP El Niño, respectively. The global land–atmosphere carbon flux ( $F_{TA}$ ) simulated by multi-models was able to capture the essentials of these characteristics. We further found that the gross primary productivity (GPP) over the tropics and the extratropical Southern Hemisphere (Trop + SH) generally dominated the global  $F_{TA}$  variations during both El Niño types. Regional analysis showed that during EP El Niño events significant anomalous carbon uptake caused by increased precipitation and colder temperatures, corresponding to the negative precursor, occurred between 30° S and 20° N from January (yr0) to June (yr0). The strongest anomalous carbon releases, largely due to the reduced GPP induced by low precipitation and warm temperatures, occurred between the equator and 20° N from February (yr1) to August (yr1). In contrast, during CP El Niño events, clear carbon releases existed between 10° N and 20° S from September

(yr0) to September (yr1), resulting from the widespread dry and warm climate conditions. Different spatial patterns of land temperatures and precipitation in different seasons associated with EP and CP El Niños accounted for the evolutionary characteristics of GPP, terrestrial ecosystem respiration (TER), and the resultant  $F_{TA}$ . Understanding these different behaviors of interannual atmospheric CO<sub>2</sub> variability, along with their terrestrial mechanisms during EP and CP El Niños, is important because the CP El Niño occurrence rate might increase under global warming.

## 1 Introduction

The El Niño–Southern Oscillation (ENSO), a dominant year-to-year climate variation, leads to a significant interannual variability in the atmospheric CO<sub>2</sub> growth rate (CGR) (Bacastow, 1976; Keeling et al., 1995). Many studies, including measurement campaigns (Lee et al., 1998; Feely et al., 2002), atmospheric inversions (Bousquet et al., 2000; Peylin et al., 2013), and terrestrial carbon cycle models (Zeng et al., 2005; Wang et al., 2016), have consistently suggested the dominant role of terrestrial ecosystems, especially tropical ecosystems, in contributing to interannual atmospheric CO<sub>2</sub> variability. Recently, Ahlstrom et al. (2015) further suggested ecosystems over the semi-arid regions played the most important role in the interannual variability of the land CO<sub>2</sub> sink. Moreover, this ENSO-related interannual carbon cycle variability may be enhanced under global warming, with approximately a 44 % increase in the sensitivity of terrestrial carbon flux to ENSO (Kim et al., 2017).

Tropical climatic variations (especially in surface air temperature and precipitation) induced by ENSO and plant and soil physiological responses can largely account for interannual terrestrial carbon cycle variability (Zeng et al., 2005; Wang et al., 2016; Jung et al., 2017). Multi-model simulations involved in the TRENDY project and the Coupled Model Intercomparison Project Phase 5 (CMIP5) have consistently suggested the biological dominance of gross primary productivity (GPP) or net primary productivity (NPP) (Kim et al., 2016; Wang et al., 2016; Piao et al., 2013; Ahlstrom et al., 2015). However, debates continue regarding which is the dominant climatic mechanism (temperature or precipitation) in the interannual variability of the terrestrial carbon cycle (Wang et al., 2013, 2014, 2016; Cox et al., 2013; Zeng et al., 2005; Ahlstrom et al., 2015; Qian et al., 2008; Jung et al., 2017).

The atmospheric CGR or land–atmosphere carbon flux ( $F_{TA}$  – if this is positive, this indicates a flux into the atmosphere) can anomalously increase during El Niño and decrease during La Niña episodes (Zeng et al., 2005; Keeling et al., 1995). Cross-correlation analysis shows that the atmospheric CGR and  $F_{TA}$  lag the ENSO by several months (Qian et al., 2008; Wang et al., 2013, 2016). This is due to the

period needed for surface energy and soil moisture adjustment following ENSO-related circulation and precipitation anomalies (Gu and Adler, 2011; Qian et al., 2008). However, considering the variability inherent in the ENSO phenomenon (Capotondi et al., 2015), the atmospheric CGR and  $F_{TA}$  can show different behaviors during different El Niño events (Schwalm, 2011; Wang et al., 2018).

El Niño events can be classified into eastern Pacific El Niño (EP El Niño, also termed as conventional El Niño) and central Pacific El Niño (CP El Niño, also termed as El Niño Modoki) according to the patterns of sea surface warming over the tropical Pacific (Ashok et al., 2007; Ashok and Yamagata, 2009). These two types of El Niño have different global climatic teleconnections, associated with contrasting climate conditions in different seasons (Weng et al., 2007, 2009). For example, positive winter temperature anomalies are located mostly over the northeastern US during an EP El Niño, while warm anomalies occur in the northwestern US during a CP El Niño (Yu et al., 2012). The contrasting summer and winter precipitation anomaly patterns associated with these two El Niño events over China, Japan, and the US were also discussed by Weng et al. (2007, 2009). Importantly, Ashok et al. (2007) suggested that the occurrence of the CP El Niño had increased during recent decades compared to the EP El Niño. This phenomenon can probably be attributed to the anthropogenic global warming (Ashok and Yamagata, 2009; Yeh et al., 2009).

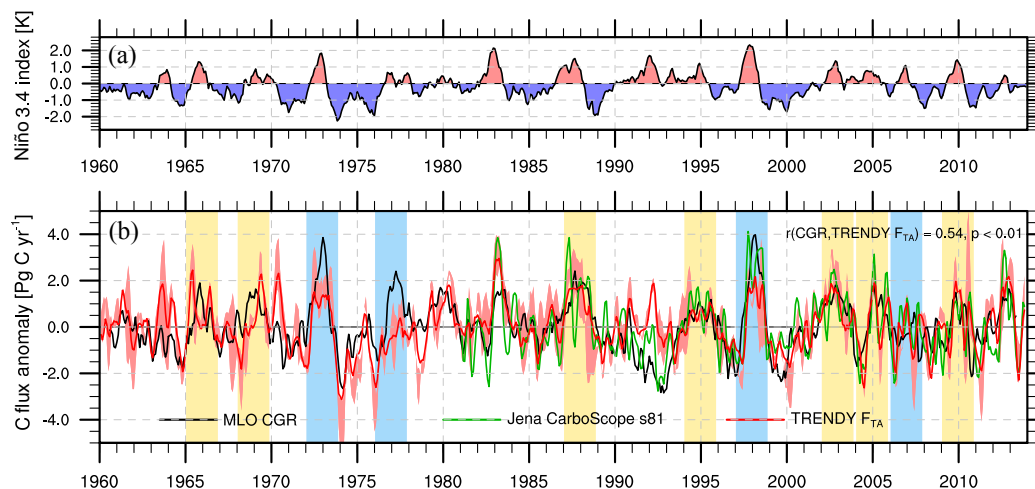
However, the contrasting impacts of EP and CP El Niño events on carbon cycle variability remain unclear. In this study, we attempt to reveal their different impacts given the different regional responses of the EP and CP El Niños. We compared the behavior of interannual atmospheric CO<sub>2</sub> variability and analyzed their terrestrial mechanisms corresponding to these two types of El Niños, based on Mauna Loa (MLO) long-term CGR and TRENDY multi-model simulations.

This paper is organized as follows: Sect. 2 describes the datasets used, methods, and TRENDY models selected. Section 3 reports the results regarding the relationship between ENSO and CGR and EP and CP El Niño events, in addition to a composite analysis on carbon cycle behaviors, and terrestrial mechanisms. Section 4 contains a discussion of the results, and Sect. 5 presents concluding remarks.

## 2 Datasets and methods

### 2.1 Datasets used

Data for monthly atmospheric CO<sub>2</sub> concentrations between 1960 and 2013 were collected from the National Oceanic and Atmospheric Administration (NOAA) Earth System Research Laboratory (ESRL) (Thoning et al., 1989). The annual CO<sub>2</sub> growth rate (CGR) in Pg C yr<sup>−1</sup> was derived month by month according to the approach described by Patra et



**Figure 1.** Interannual variability in the Niño3.4 index and the carbon cycle. (a) Niño3.4. (b) Mauna Loa (MLO) CO<sub>2</sub> growth rate (CGR, black line), as well as TRENDY multi-model median (red line) and Jena inversion (green line) of the global land–atmosphere carbon flux ( $F_{TA}$ ; a positive value means flux into the atmosphere; units: Pg C yr<sup>−1</sup>), which were further smoothed by the 3-month running average. The light red shading represents the area between the 5 and 95 % percentiles of the TRENDY simulations. The bars represent the El Niño events selected for this study, with the EP El Niño in blue and the CP El Niño in yellow.

al. (2005) and Sarmiento et al. (2010). The calculation is as follows:

$$\text{CGR}(t) = \gamma \cdot [p\text{CO}_2(t+6) - p\text{CO}_2(t-6)], \quad (1)$$

where  $\gamma = 2.1276 \text{ Pg C ppm}^{-1}$ ,  $p\text{CO}_2$  is the atmospheric partial pressure of CO<sub>2</sub> in ppm, and  $t$  is the time in months. The detailed calculation of the conversion factor,  $\gamma$ , can be found in the appendix of Sarmiento et al. (2010).

Temperature and precipitation datasets for 1960 through 2013 were obtained from CRUNCEPv6 (Wei et al., 2014). CRUNCEP datasets are the merged product of ground observation-based CRU data and model-based NCEP–NCAR Reanalysis data with a  $0.5^\circ \times 0.5^\circ$  spatial resolution and 6 h temporal resolution. These datasets are consistent with the climatic forcing used to run dynamic global vegetation models in TRENDY v4 (Sitch et al., 2015). The sea surface temperature anomalies (SSTAs) over the Niño3.4 region ( $5^\circ \text{ S}–5^\circ \text{ N}$ ,  $120–170^\circ \text{ W}$ ) were obtained from the NOAA’s Extended Reconstructed Sea Surface Temperature (ERSST) dataset, version 4 (Huang et al., 2015).

The inversion of  $F_{TA}$  from the Jena CarboScope was used for comparison with the TRENDY multi-model simulations from 1981 to 2013. The Jena CarboScope Project provided the estimates of the surface–atmosphere carbon flux based on atmospheric measurements using an “atmospheric transport inversion”. The inversion run used here was s81\_v3.8 (Rodenbeck et al., 2003).

## 2.2 TRENDY simulations

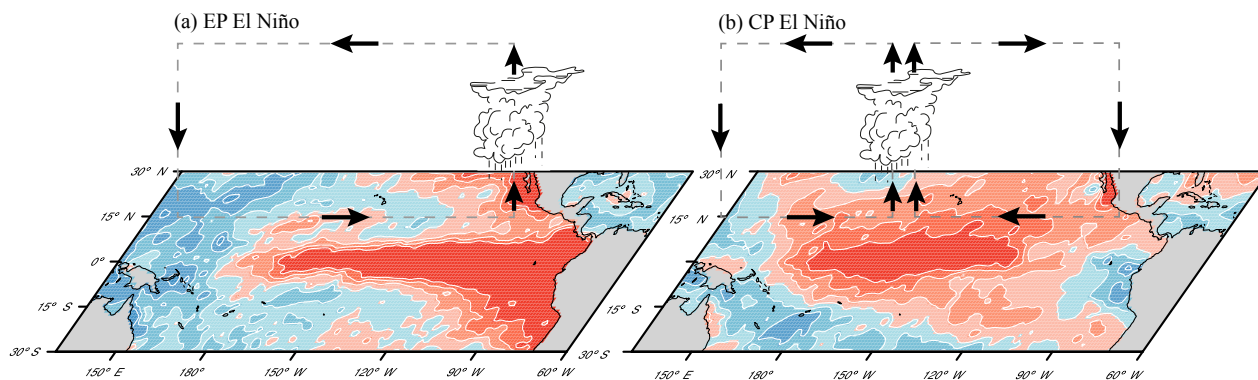
We analyzed eight state-of-the-art dynamic global vegetation models from TRENDY v4 for the period 1960–2013:

CLM4.5 (Oleson et al., 2013), ISAM (Jain et al., 2013), JS-BACH (Reick et al., 2013), JULES (Clark et al., 2011), LPX-Bern (Keller et al., 2017), OCN (Zaehle and Friend, 2010), VEGAS (Zeng et al., 2005), and VISIT (Kato et al., 2013) (Table 1). Since LPX-Bern was excluded in the analysis of TRENDY v4, due to it not fulfilling the minimum performance requirement, the output over the same time period of a more recent, better performing version (LPX-Bern v1.3) was used. These models were forced using a common set of climatic datasets (CRUNCEPv6), and followed the same experimental protocol. Models use different vegetation datasets or internally generated vegetation. The S3 run was used in this study, in which simulations were forced by all the drivers, including CO<sub>2</sub>, climate, land use, and land cover change (Sitch et al., 2015).

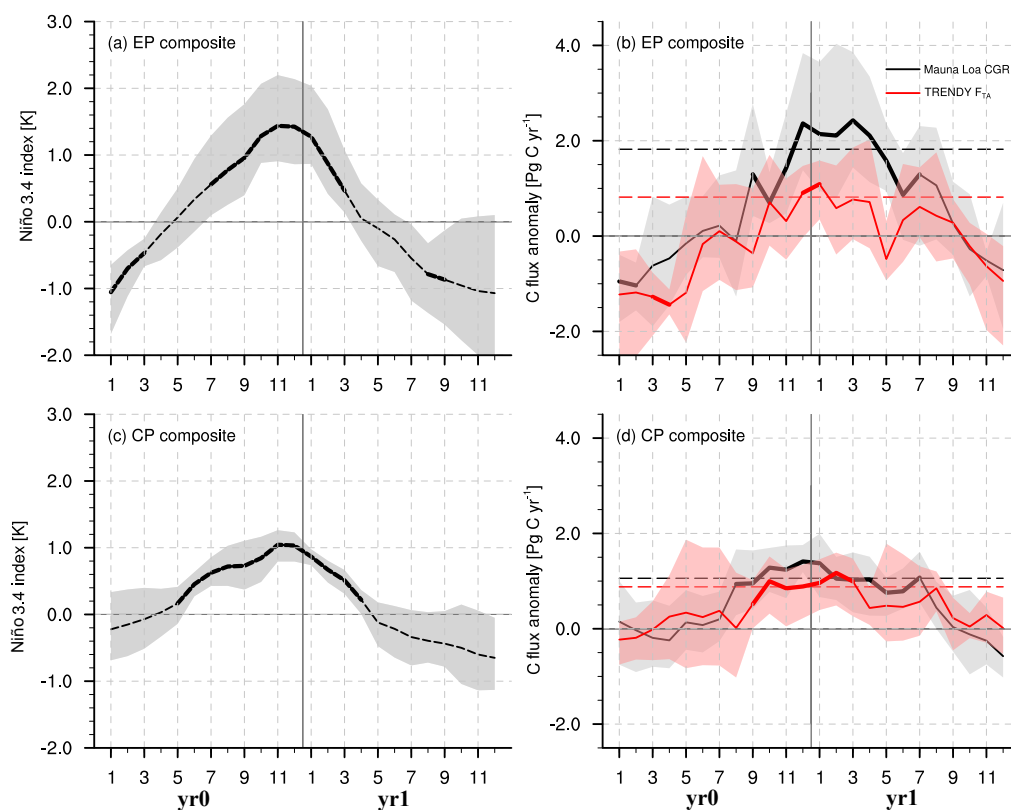
The simulated terrestrial variables (net biome productivity (NBP), GPP, terrestrial ecosystem respiration (TER), soil moisture, and others) were interpolated into a consistent  $0.5^\circ \times 0.5^\circ$  resolution using the first-order conservative remapping scheme (Jones, 1999) by Climate Data Operators (CDO):

$$\overline{F}_k = \frac{1}{A_k} \int f dA, \quad (2)$$

where  $\overline{F}_k$  denotes the area-averaged destination quantity,  $A_k$  is the area of cell  $k$ , and  $f$  is the quantity in an old grid which has an overlapping area with the destination grid. Then the median, 5, and 95 % percentiles of the multi-model simulations were calculated grid by grid to study the different effects of EP and CP El Niños on terrestrial carbon cycle interannual variability.



**Figure 2.** Schematic diagram of the two types of El Niños. **(a)** Sea surface temperature anomaly (SSTA) over the tropical Pacific associated with the anomalous Walker circulation in an EP El Niño. **(b)** SSTA with two cells of the anomalous Walker circulation in a CP El Niño. Red colors indicate warming, and blue colors indicate cooling. Vectors denote the wind directions.



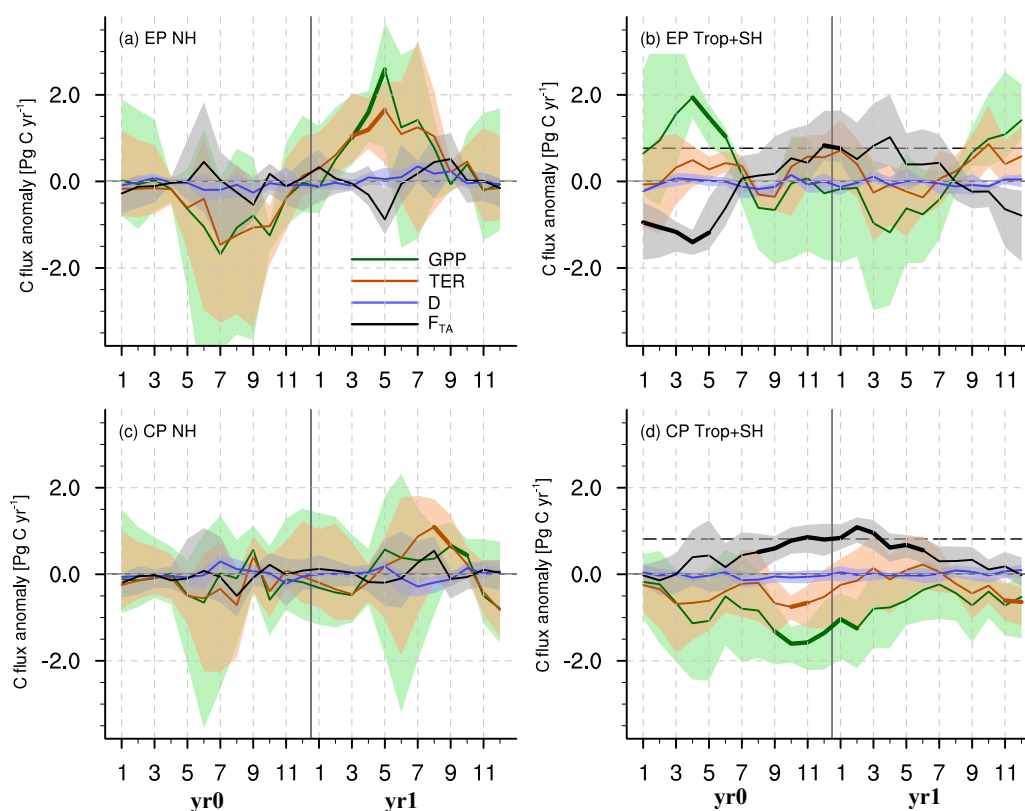
**Figure 3.** Composites of El Niño and the corresponding carbon flux anomaly ( $\text{Pg C yr}^{-1}$ ). **(a)** The Niño3.4 index composite during EP El Niño events. **(b)** Corresponding MLO CGR and TRENDY v4 global  $F_{TA}$  composite during EP El Niño events. **(c)** The Niño3.4 index composite during CP El Niño events. **(d)** Corresponding MLO CGR and TRENDY v4 global  $F_{TA}$  composite during CP El Niño events. The shaded area denotes the 95 % confidence intervals of the variables in the composite, derived from 1000 bootstrap estimates. The bold lines indicate the significance above the 80 % level estimated by the Student's  $t$  test. The black and red dashed lines in **(b)** and **(d)** represent the thresholds of the peak duration (75 % of the maximum CGR or  $F_{TA}$  anomaly).

### 2.3 El Niño criterion and classification methods

El Niño events are determined by the Oceanic Niño Index (ONI) (i.e., the running 3-month mean SST anomaly over

**Table 1.** TRENDY models used in this study.

No.	Model	Resolution (lat × long.)	Fire simulation	References
1	CLM4.5	0.94° × 1.25°	yes	Oleson et al. (2013)
2	ISAM	0.5° × 0.5°	no	Jain et al. (2013)
3	JSBACH	1.875° × 1.875°	yes	Reick et al. (2013)
4	JULES	1.6° × 1.875°	no	Clark et al. (2011)
5	LPX-Bern	1° × 1°	yes	Keller et al. (2017)
6	OCN	0.5° × 0.5°	no	Zaehle et al. (2010)
7	VEGAS	0.5° × 0.5°	yes	Zeng et al. (2005)
8	VISIT	0.5° × 0.5°	yes	Kato et al. (2013)



**Figure 4.** Composites of anomalies in the TRENDY  $F_{TA}$  (black lines), gross primary productivity (GPP, green lines), terrestrial ecosystem respiration (TER, brown lines), and the carbon flux caused by disturbances (D, blue lines) during two types of El Niños over the extratropical Northern Hemisphere (NH, 23–90° N) and the tropics and extratropical Southern Hemisphere (Trop + SH, 60–23° S). The shaded area denotes the 95 % confidence intervals of the variables in the composite, derived from 1000 bootstrap estimates. The bold lines indicate the significance above the 80 % level estimated by the Student's  $t$  test. The black dashed lines in b and d represent the thresholds of the peak duration.

the Niño3.4 region; Fig. 1a). This NOAA criterion is that El Niño events are defined as five consecutive overlapping 3-month periods at or above the +0.5° anomaly.

We classified El Niño events into EP or CP based on the consensus of three different identification methods directly adopted from a previous study (Yu et al., 2012). These identification methods included the El Niño Modoki Index (EMI)

(Ashok et al., 2007), the EP/CP index method (Kao and Yu, 2009), and the Niño method (Yeh et al., 2009).

## 2.4 Anomaly calculation and composite analysis

To calculate the anomalies, we first removed the long-term climatology for the period from 1960 to 2013 from all of the variables used here, both modeled and observed, in order to

eliminate the seasonal cycle. We then detrended them based on a linear regression because (1) the trend in terrestrial carbon variables was mainly caused by long-term CO<sub>2</sub> fertilization and climate change, and (2) the trend in CGR primarily resulted from the anthropogenic emissions. We used these detrended monthly anomalies to investigate the impacts of El Niño events on the interannual carbon cycle variability.

More specifically, in terms of the composite analysis, we calculated the averages of the carbon flux anomaly (CGR,  $F_{TA}$  etc.) during the selected EP and CP El Niño events, respectively. We use the bootstrap methods (Mudelsee, 2010) to estimate the 95 % confidence intervals and the Student's  $t$  test to estimate the significance levels in the composite analysis. An 80 % significance level was selected, as per Weng et al. (2007), due to the limited number of EP El Niño events.

### 3 Results

#### 3.1 The relationship between ENSO and interannual atmospheric CO<sub>2</sub> variability

The interannual atmospheric CO<sub>2</sub> variability closely coupled with ENSO (Fig. 1) with noticeable increases in CGR during El Niño and decreases during La Niña, respectively (Bacastow, 1976; Keeling and Revelle, 1985). The correlation coefficient between the MLO CGR and the Niño3.4 index from 1960 to 2013 was 0.43 ( $p < 0.01$ ). A regression analysis further indicated that a per unit increase in the Niño3.4 index can lead to a 0.60 Pg C yr<sup>-1</sup> increase in the MLO CGR.

The variation in the global  $F_{TA}$  anomaly simulated by TRENDY models resembled the MLO CGR variation, with a correlation coefficient of 0.54 ( $p < 0.01$ ; Fig. 1b). This was close to the correlation coefficient of 0.61 ( $p < 0.01$ ; Fig. 1b) between the MLO CGR and the Jena CarboScope s81 for the time period from 1981 to 2013. This indicates that the terrestrial carbon cycle can largely explain the interannual atmospheric CO<sub>2</sub> variability, as suggested by previous studies (Bousquet et al., 2000; Zeng et al., 2005; Peylin et al., 2013; Wang et al., 2016). Moreover, the correlation coefficient of the TRENDY global  $F_{TA}$  and the Niño3.4 index reached 0.49 ( $p < 0.01$ ), and a similar regression analysis of  $F_{TA}$  with Niño3.4 showed a sensitivity of 0.64 Pg C yr<sup>-1</sup> K<sup>-1</sup>. However, owing to the diffuse light fertilization effect induced by the eruption of Mount Pinatubo in 1991 (Mercado et al., 2009), the Jena CarboScope s81 indicated that the terrestrial ecosystems had an anomalous uptake during the 1991–1992 El Niño event, making the MLO CGR an anomalous decrease. However, TRENDY models did not capture this phenomenon. This was not only due to a lack of a corresponding process representation in some models, but also because the TRENDY protocol did not include diffuse and direct light forcing.

**Table 2.** Eastern Pacific (EP) and central Pacific (CP) El Niño events used in this study, as identified by a majority consensus of three methods.

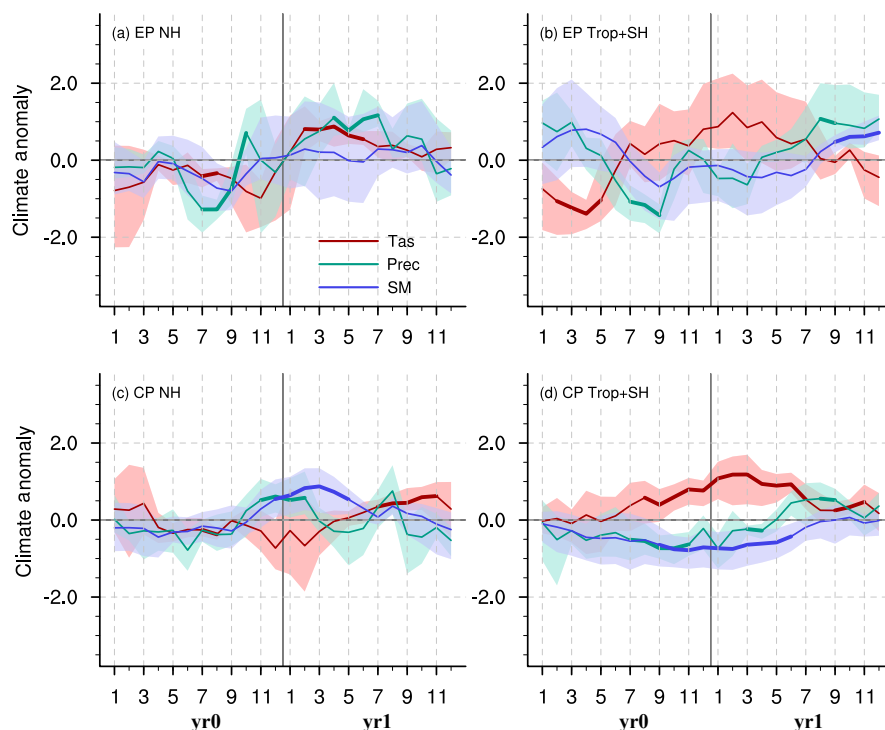
EP El Niño	CP El Niño
1972–1973	1965–1966
1976–1977	1968–1969
1997–1998	1987–1988
2006–2007	1994–1995
	2002–2003
	2004–2005
	2009–2010

#### 3.2 EP and CP El Niño events

Schematic diagrams of the two types of El Niños (EP and CP) are shown in Fig. 2. During EP El Niño events (Fig. 2a), a positive sea surface temperature anomaly (SSTA) occurs in the eastern equatorial Pacific Ocean, showing a dipole SSTA pattern with the positive zonal SST gradient. This condition forms a single cell of Walker circulation over the tropical Pacific, with a dry downdraft in the western Pacific and wet updraft in the central-eastern Pacific. In contrast, an anomalous warming in the central Pacific, sandwiched by anomalous cooling in the east and west, is observed during CP El Niño events (Fig. 2b). This tripole SSTA pattern makes the positive/negative zonal SST gradient in the western/eastern tropical Pacific, resulting in an anomalous two-cell Walker circulation over the tropical Pacific. This alteration in atmospheric circulation produces a wet region in the central Pacific. Moreover, apart from these differences in the equatorial Pacific, the SSTA in other oceanic regions also differs remarkably (Weng et al., 2007, 2009).

Based on the NOAA criterion, a total of 17 El Niño events were detected from 1960 through 2013. The events were then categorized into an EP or a CP El Niño based on a consensus of three identification methods (EMI, EP/CP index, and Niño methods) (Yu et al., 2012). Considering the effect of diffuse radiation fertilization induced by volcano eruptions (Mercado et al., 2009), we removed the 1963–1964, 1982–1983, and 1991–1992 El Niño events, in which Mount Agung, El Chichón, and Pinatubo erupted, respectively. In addition, we closely examined those extended El Niño events that occurred in 1968–1970, 1976–1978, and 1986–1988. Based on the typical responses of MLO CGR to El Niño events (anomalous increase lasting from the El Niño developing year to El Niño decaying year; Supplement Fig. S1), we retained 1968–1969, 1976–1977, and 1987–1988 El Niño periods. Finally, we obtained four EP El Niño and seven CP El Niño events in this study (Table 2; Fig. 1b and Supplement Fig. S2), with the composite SSTA evolutions as shown in the Supplement Fig. S3.





**Figure 5.** Composites of the standardized land surface air temperature (Tas, red lines), precipitation (green lines), and TRENDY-simulated soil moisture content (SM, blue lines) anomalies in two types of El Niños over the NH and over Trop + SH. The shaded area denotes the 95 % confidence intervals of the variables in the composite, derived from 1000 bootstrap estimates. The bold lines indicate the significance above the 80 % level estimated by the Student's *t* test.

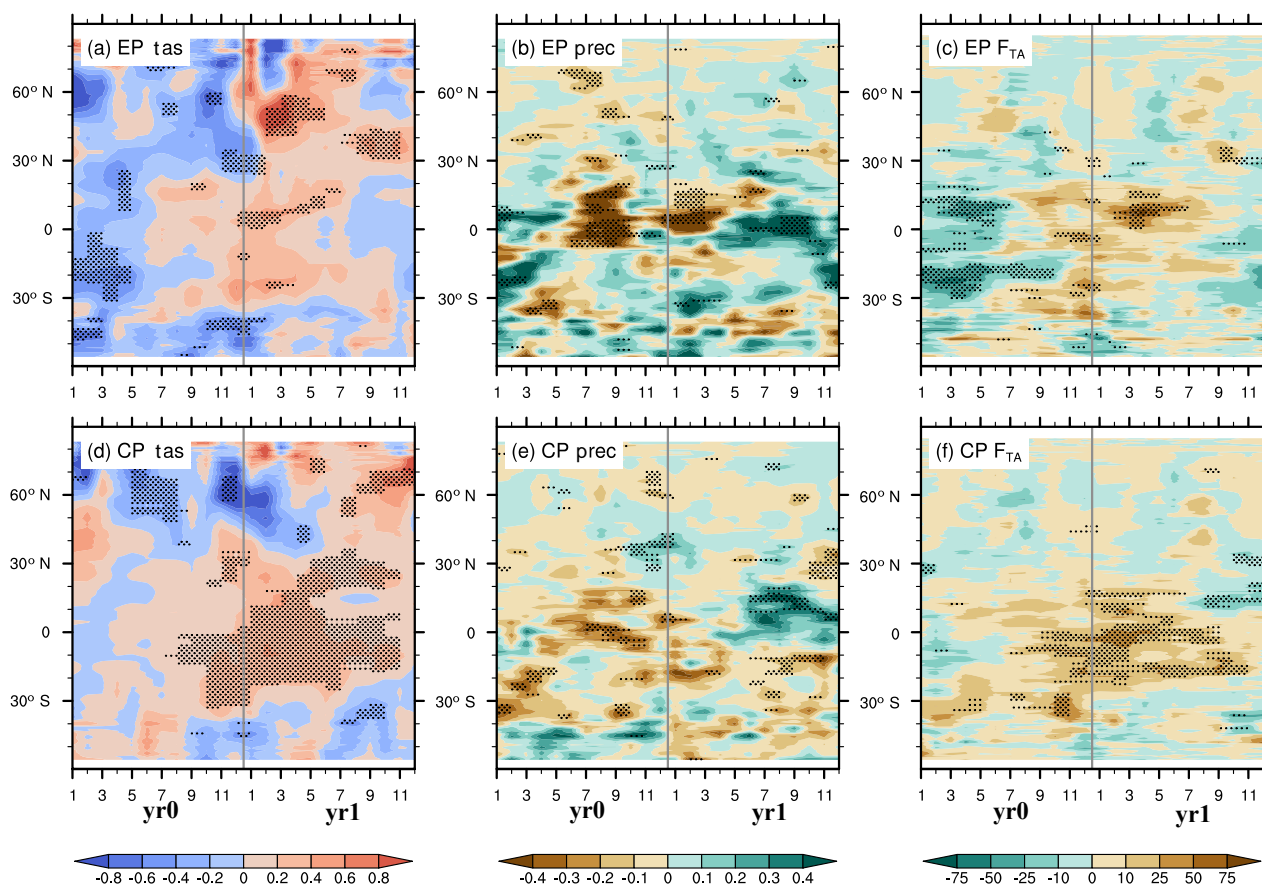
### 3.3 Responses of atmospheric CGR to two types of El Niños

Based on the selected EP and CP El Niño events, a composite analysis was conducted with the non-smoothed detrended monthly anomalies of the MLO CGR and the TRENDY global  $F_{TA}$  to reveal the contrasting carbon cycle responses to these two types of El Niños (Fig. 3). In addition to the differences in the location of anomalous SST warming and the alteration of the atmospheric circulation in EP and CP El Niños shown in Fig. 2, the following findings were elucidated.

1. *Different El Niño precursors.* The SSTA was significantly negative in the EP El Niño during the boreal winter (JF) and spring (MAM) in yr0 (hereafter “yr0” and “yr1” refer to the El Niño developing and decaying year, respectively). Conversely, the SSTA was neutral in the CP El Niño.
2. *Different tendencies of SST ( $\partial SSTA/\partial t$ ).* The tendency of SST in the EP El Niño was stronger than that in the CP El Niño.
3. *Different El Niño amplitudes.* Due to the different tendencies of SST, the amplitude of the EP El Niño was

basically stronger than that of the CP El Niño, though they all reached maturity in November or December of yr0 (Fig. 3a and c).

Correspondingly, behaviors of the MLO CGR during these two types of El Niño events also displayed some differences (Fig. 3b and d). During EP El Niño events (Fig. 3b), the MLO CGR was negative in boreal spring (yr0) and increased quickly from boreal fall (yr0), whereas it was neutral in boreal spring (yr0) and slowly increases from boreal summer (yr0) during the CP El Niño episode (Fig. 3d). The amplitude of the MLO CGR anomaly during EP El Niño events was generally larger than that during CP El Niño events. Importantly, the duration of the MLO CGR peak during EP El Niño was from December (yr0) to April (yr1), while the MLO CGR anomaly peaked from October (yr0) to January (yr1) during CP El Niño. Here we simply defined the peak duration as the period above the 75 % of the maximum CGR (or  $F_{TA}$ ) anomaly, in which the variabilities of less than 3 months below the threshold were also included. The positive MLO CGR anomaly ended around September (yr1) in both cases (Fig. 3b and d). During the finalization of this paper, we noted the publication of Chylek et al. (2018) who also found a CGR amplitude difference in response to the two types of events.



**Figure 6.** Hovmöller diagrams of the anomalies in climate variables and the  $F_{TA}$  (averaged from 180° W to 180° E) during EP and CP El Niño events. Panels (a and d) show surface air temperature anomalies over land (units: K); panels (b and e) show precipitation anomalies over land (units: mm d<sup>-1</sup>); panels (c and f) show TRENDY-simulated  $F_{TA}$  anomalies (units: g C m<sup>-2</sup> yr<sup>-1</sup>) during EP and CP El Niño events. The dotted areas indicate the significance above the 80 % level as estimated using the Student's  $t$  test.

A comparison of the MLO CGR with the TRENDY global  $F_{TA}$  anomalies (Fig. 3b and d) indicated that the TRENDY global  $F_{TA}$  effectively captured the characteristics of CGR evolution during the CP El Niño. In contrast, the amplitude of the TRENDY global  $F_{TA}$  anomaly was somewhat underestimated during the EP El Niño, causing a lower statistical significance (Fig. 3b). This underestimation of the global  $F_{TA}$  anomaly can, for example, be clearly seen in a comparison between the TRENDY and the Jena CarboScope during the extreme 1997–1998 EP El Niño (Fig. 1b). Also, other characteristics can be basically captured. Therefore, insight into the mechanisms of these CGR evolutions during EP and CP El Niños, based on the simulations by TRENDY models, is still possible.

### 3.4 Regional contributions, characteristics, and their mechanisms

We separated the TRENDY global  $F_{TA}$  anomaly by major geographic regions into two parts: the extratropical North-

ern Hemisphere (NH, 23° N–90° N), and the tropics plus extratropical Southern Hemisphere (Trop + SH, 60° S–23° N) (Fig. 4). In a comparison of the contributions from these two parts, it was found that the  $F_{TA}$  over Trop + SH played a more important role in the global  $F_{TA}$  anomaly in both cases (Fig. 4b and d), and this finding was consistent with previous studies (Bousquet et al., 2000; Peylin et al., 2013; Zeng et al., 2005; Wang et al., 2016; Ahlstrom et al., 2015; Jung et al., 2017). The  $F_{TA}$  over Trop + SH was negative in austral fall (MAM; yr0), increased from austral spring (SON; yr0), and peaked from December (yr0) to April (yr1) during the EP El Niño (Fig. 4b). Conversely, it was nearly neutral in austral fall (yr0), increased from austral winter (JJA; yr0), and peaked from November (yr0) to March (yr1) during the CP El Niño (Fig. 4d). These evolutionary characteristics in the  $F_{TA}$  over the Trop + SH were generally consistent with the global  $F_{TA}$  and the MLO CGR (Fig. 3b and d). In contrast, the contributions from the  $F_{TA}$  anomaly over the NH were relatively weaker (or nearly neutral) (Fig. 4a and c).



According to the equation  $F_{TA} = -NBP = TER - GPP + D$  (where  $D$  is the carbon flux caused by disturbances such as wildfires, harvests, grazing, and land cover change), the variation in  $F_{TA}$  can be explained by the variations in GPP, TER, and  $D$ . The  $D$  simulated by TRENDY was nearly neutral during both El Niño types (Fig. 4). Therefore, GPP and TER largely accounted for the variation in  $F_{TA}$ .

More specifically, in Trop + SH, GPP anomalies dominated the variations in  $F_{TA}$  for both El Niño types, but their evolutions differed (Fig. 4b and d). The GPP showed an anomalous positive value during austral fall (yr0), and an anomalous negative value from austral fall (yr1) to winter (yr1), with the minimum around April (yr1) during the EP El Niño (Fig. 4b). Conversely, the GPP anomaly was always negative, with the minimum occurring around October or November (yr0) during the CP El Niño (Fig. 4d). The variation in the TER in both El Niños was relatively weaker than that of the GPP (Fig. 4b and d). The anomalous positive TER during austral spring (yr0) and summer (yr1) accounted for the increase in  $F_{TA}$ , and it partly canceled the negative GPP in austral fall (yr1) and winter (yr1) during the EP El Niño (Fig. 4b). In contrast, the TER had a reduction in yr0 during the CP El Niño (Fig. 4d). Over the NH, though the  $F_{TA}$  anomaly was relatively weaker, the behaviors of GPP and TER differed in EP and CP El Niños. GPP and TER consistently decreased in the growing season of yr0 and increased in the growing season of yr1 during the EP El Niño (Fig. 4a), whereas they only showed some increase during boreal summer (yr1) during the CP El Niño (Fig. 4c).

These evolutionary characteristics of GPP, TER, and the resultant  $F_{TA}$  principally resulted from their responses to the climate variability. Figure 5 shows the standardized observed surface air temperature, precipitation, and TRENDY-simulated soil moisture contents. Over the Trop + SH, taking into consideration the regulation of thermodynamics and the hydrological cycle on the surface energy balance, variations in temperature and precipitation (soil moisture) were always opposite during the two types of El Niños (Fig. 5b and d). Additionally, adjustments in soil moisture lagged precipitation by approximately 2–4 months, owing to the so-called “soil memory” of water recharge (Qian et al., 2008). The variations in GPP in both the El Niño types were closely associated with variations in soil moisture, namely water availability largely dominated by precipitation (Figs. 4b, d and 5b, d), and this result was consistent with previous studies (Zeng et al., 2005; Zhang et al., 2016). Warm temperatures during El Niño episodes can enhance the ecosystem respiration, but dry conditions can reduce it. These cancelations from warm and dry conditions made the amplitude of TER variation smaller than that of GPP (Fig. 4b and d). Over the NH, variations in temperature and precipitation were basically in the same direction (Fig. 5a and c), as opposed to their behaviors over the Trop + SH. This was due to the different climatic dynamics of the two regions (Zeng et al., 2005). During the EP El Niño event, cool and dry conditions in the

boreal summer (yr0) inhibited GPP and TER, whereas warm and wet conditions in the boreal spring and summer (yr1) enhanced them (Figs. 5a and 4a). In contrast, only the warm and wet conditions in boreal summer (yr1) enhanced GPP and TER during the CP El Niño event (Figs. 5c and 4c). These different configurations of temperature and precipitation variations during EP and CP El Niños form the different evolutionary characteristics of GPP, TER, and the resultant  $F_{TA}$ .

Detailed regional evolutionary characteristics can be seen from the Hovmöller diagrams in Fig. 6 and in the Supplement Figs. S4 and S5. Obvious large anomalies in  $F_{TA}$  consistently occurred from 20° N to 40° S during EP and CP El Niños (Fig. 6c and f), consistent with the above analyses (Fig. 4b and d). Moreover, there was a clear anomalous carbon uptake between 30° S and 20° N during the period from January (yr0) to June (yr0) during the EP El Niño (Fig. 6c). This uptake corresponded to the negative precursor (Figs. 3b and 4b). This anomalous carbon uptake comparably came from the three continents (Supplement Fig. S4a–c). Biological process analyses indicated that GPP dominated between 5 and 20° N and between 30 and 15° S (Supplement Fig. S5a), which was related to the increased amount of precipitation (Fig. 6b). In contrast, TER dominated between 15° S and 5° N (Supplement Fig. S5b), largely due to the colder temperatures (Fig. 6a). Conversely, the strongest anomalous carbon releases occurred between the equator and 20° N during the period from February (yr1) to August (yr1) during the EP El Niño (Fig. 6c). The largest contribution to these anomalous carbon releases came from South America (Supplement Fig. S4c). Both GPP and TER showed anomalous decreases (Supplement Fig. S5a and b), and a stronger decrease in GPP than in TER caused the anomalous carbon releases here (Fig. 6c). Low precipitation (with a few months of delayed dry conditions; Fig. 6b) and warm temperatures (Fig. 6a) inhibited GPP, causing the positive  $F_{TA}$  anomaly (Fig. 6c). In contrast, significant carbon releases were found between 10° N and 20° S from September (yr0) to September (yr1) during the CP El Niño (Fig. 6f). More specifically, these clear carbon releases largely originated from South America and tropical Asia (Supplement Fig. S4d–f). TER dominated between 15° S and 10° N during the period from January (yr1) to September (yr1), and other regions and periods were dominated by GPP (Supplement Fig. S5c and d). Widespread dry and warm conditions (Fig. 6d and e) effectively explained these GPP and TER anomalies, as well as the resultant  $F_{TA}$  behavior. For more detailed information on the other regions, refer to Supplement Figs. S4 and S5.

## 4 Discussion

El Niño shows large diversity in individual events (Capotondi et al., 2015), thereby creating large uncertainties in composite analyses (Figs. 3–5). Four EP El Niño events during the

past 5 decades were selected for this study to research their effects on interannual carbon cycle variability (Table 1). Due to the small number of samples and large inter-event spread (Supplement Fig. S2), the statistical significance of the composite analyses will need to be further evaluated with upcoming EP El Niño events occurring in the future. However, cross-correlation analyses between the long-term CGR (or  $F_{TA}$ ) and the Niño index have shown that the responses of the CGR (or  $F_{TA}$ ) lag ENSO by a few months (Zeng et al., 2005; Wang et al., 2013, 2016). This phenomenon can be clearly detected in the EP El Niño composite (Fig. 3b). Therefore, the composite analyses in this study can still give us some insight into the interannual variability of the global carbon cycle.

Another caveat is that the TRENDY models seemed to underestimate the amplitude of the  $F_{TA}$  anomaly during the extreme EP El Niño events (Fig. 1b). This underestimation of  $F_{TA}$  may partially result from a bias in the estimation of carbon releases induced by wildfires. As expected, the carbon releases induced by wildfires, such as in the 1997–1998 strong El Niño event, played an important role in global carbon variations (van der Werf et al., 2004; Chen et al., 2017) (Supplement Fig. S6). However, some TRENDY models (ISAM, JULES, and OCN) do not include a fire module to explicitly simulate the carbon releases induced by wildfires (Table 1), and those TRENDY models that do contain a fire module generally underestimate the effects of wildfires. For instance, VISIT and JSBACH clearly underestimated the carbon flux anomaly induced by wildfires during the 1997–1998 EP El Niño event (Supplement Fig. S6).

The recent extreme 2015–2016 El Niño event was not included in this study because the TRENDY v4 datasets covered the time span from 1860 to 2014. As shown in Wang et al. (2018), the behavior of the MLO CGR in the 2015–2016 El Niño resembled the composite result of the CP El Niño events (Fig. 3d). But the 2015–2016 El Niño event had the extreme positive SSTA both over the central and eastern Pacific. Its equatorial eastern Pacific SSTA exceeded +2.0 K, comparable to the historical extreme El Niño events (e.g., 1982–1983 and 1997–1998); the central Pacific SSTA marked the warmest event since the modern observation (Thomalla and Boyland, 2017). Therefore, the 2015–2016 El Niño event evolved not only in a similar fashion to the EP El Niño dynamics that rely on the basin-wide thermocline variations, but also in a similar fashion to the CP El Niño dynamics that rely on the subtropical forcing (Paek et al., 2017; Palmeiro et al., 2017). The 2015–2016 extreme El Niño event can be treated as the strongest mixed EP and CP El Niño that caused different climate anomalies compared with the extreme 1997–1998 El Niño (Paek et al., 2017; Palmeiro et al., 2017), which had contrasting terrestrial and oceanic carbon cycle responses (Wang et al., 2018; Liu et al., 2017; Chatterjee et al., 2017).

As mentioned above, when finalizing our paper, we noted the publication of Chylek et al. (2018) who also focused on

interannual atmospheric CO<sub>2</sub> variability during EP and CP El Niño events. Here we simply illustrated some differences and similarities. In the method of the identification of EP and CP El Niño events, Chylek et al. (2018) took the Niño1 + 2 index and Niño4 index to categorize El Niño events, while we adopted the results of Yu et al. (2012), based on the consensus of three different identification methods, and additionally excluded the events that coincided with volcanic eruptions. The different methods made some differences in the identification of EP and CP El Niño events. Chylek et al. (2018) suggested that the CO<sub>2</sub> rise rate had different time delay to the tropical near surface air temperature, with the delay of about 8.5 and 4 months during EP and CP El Niños, respectively. Although we did not find out the exactly same time delay, we suggested that MLO CGR anomaly showed the peak duration from December (yr0) to April (yr1) in the EP El Niño, and from October (yr0) to January (yr1) in the CP El Niño. Additionally, we suggested the differences of MLO CGR anomaly in precursors and amplitudes during EP and CP El Niños. Furthermore, we revealed their terrestrial mechanisms based on the inversion results and the TRENDY multi-model historical simulations.

## 5 Concluding remarks

In this study, we investigate the different impacts of EP and CP El Niño events on the interannual carbon cycle variability in terms of the composite analysis, based on the long-term MLO CGR and TRENDY multi-model simulations. We suggest that there are three clear differences in evolutions of the MLO CGR during EP and CP El Niños in terms of their precursor, amplitude, and duration of the peak. Specifically, the MLO CGR anomaly was negative in boreal spring (yr0) during EP El Niño events, while it was neutral during CP El Niño events. Additionally, the amplitude of the CGR anomaly was generally larger during EP El Niño events than during CP El Niño events. Also, the duration of the MLO CGR peak during EP El Niño events occurred from December (yr0) to April (yr1), while it peaked from October (yr0) to January (yr1) during CP El Niño events.

The TRENDY multi-model-simulated global  $F_{TA}$  anomalies were able to capture these characteristics. Further analysis indicated that the  $F_{TA}$  anomalies over the Trop + SH made the largest contribution to the global  $F_{TA}$  anomalies during these two types of El Niño events, in which GPP anomalies, rather than TER anomalies, generally dominated the evolutions of the  $F_{TA}$  anomalies. Regionally, during EP El Niño events, clear anomalous carbon uptake occurred between 30° S and 20° N during the period from January (yr0) to June (yr0), corresponding to the negative precursor. This was primarily caused by more precipitation and colder temperatures. The strongest anomalous carbon releases happened between the equator and 20° N during the period from February (yr1) to August (yr1), largely due to the reduced

GPP induced by low precipitation and warm temperatures. In contrast, clear carbon releases existed between 10° N and 20° S from September (yr0) to September (yr1) during CP El Niño events, which were caused by widespread dry and warm climate conditions.

Some studies (Yeh et al., 2009; Ashok and Yamagata, 2009) have suggested that the CP El Niño has become or will be more frequent under global warming compared with the EP El Niño. Because of these different behaviors of the interannual carbon cycle variability during the two types of El Niños, this shift of El Niño types will alter the response patterns of interannual terrestrial carbon cycle variability. This possibility should encourage researchers to perform further studies in the future.

**Data availability.** The monthly atmospheric CO<sub>2</sub> concentration is from NOAA/ESRL (<https://www.esrl.noaa.gov/gmd/ccgg/trends/index.html>). The Niño3.4 index is from ERSST4 (<http://www.cpc.ncep.noaa.gov/data/indices/ersst4.nino.mth.81-10.ascii>). Temperature and precipitation are from CRUN-CEPv6 ([ftp://nacp.ornl.gov/synthesis/2009/frescati/temp/land\\_use\\_change/original/readme.htm](ftp://nacp.ornl.gov/synthesis/2009/frescati/temp/land_use_change/original/readme.htm)). TRENDY v4 data are available from Stephen Sitch ([s.a.sitch@exeter.ac.uk](mailto:s.a.sitch@exeter.ac.uk)) upon your reasonable request.

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**Competing interests.** The authors declare that they have no conflict of interest.

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